

Status of Audit Resolution

December 2003

Agency: 240

Department of Licensing

Audit Number	Finding Number
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2002S	09	Finding:	The Department of Licensing's controls are not adequate to ensure information processed within the Unisys System is secure.
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Resolution/Status: The Department concurs with this finding and corrective action is ongoing.

The following corrective actions were taken prior to December 31, 2002:

- Ensured the separation of duties between users within General Accounting,
- Ensured output from applications were distributed appropriately to authorized personnel, and
- Reviewed the Refund Request Summaries in the Master License Refund unit.

The agency's strategic direction involves migrating off the Unisys platform to a new environment. Spending authority was granted by the Legislature in the 2003-05 biennial budget to accomplish this migration.

The agency will implement the following compensating controls by June 30, 2004:

- Create a custom security reporting system, with ability to identify users by name,
- Use individual logons within the technical constraints of the Unisys system,
- Limit users with security rights to include essential personnel only, and
- Strengthen existing compensating controls and identify additional controls, based on the results of an internal risk assessment.

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